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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application Number

10/773,802

Filing Date

February 6, 2004

First Named Inventor

Jongik WON

Art Unit

1765

Examiner Name

B. Tran

Attorney Docket Number

373722002110

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/
Incomplete Application

☐ Reply to Missing Parts under
37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication
to TC

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please
Identify below):

Statement of the Substance of the
Interview - 2 pages
Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

MORRISON & FOERSTER LLP (Customer No. 25226)

Signature

Printed name

Charles D. Holland

Date

November 20, 2006

Reg. No.

35,196

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 20, 2006

Signature: _____

(Thao Pham)



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Dated: November 20, 2006

Signature: 

(Thao Pham)

Docket No.: 373722002110
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jongik WON et al.

Application No.: 10/773,802

Confirmation No.: 8467

Filed: February 6, 2004

Art Unit: 1765

For: METHODS FOR ETCH LOADING PLANAR
LIGHTWAVE CIRCUITS

Examiner: B. Tran

STATEMENT OF THE SUBSTANCE OF THE INTERVIEW OF OCTOBER 31, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

On October 24, 2006 Examiner Tran called Mark Schmidt to report that the Examiner was ready to allow this case, but need permission to enter an Examiner's Amendment canceling previous withdrawing claims 8-10. I understand that Mr. Schmidt approved the amendment.

Applicants believe that no fee is due in connection with this communication. However, in the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **373722002110**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 20, 2006

Respectfully submitted,

By 

Charles D. Holland

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